



Peter Braun
Senior Legal Counsel
Attorney-at-Law, LL.M.

Professional experience

Since 2019

Senior Legal Counsel, ENQUIRE Attorneys-at-Law, Zurich, Switzerland

2018

Head of Whistleblowing Matters for the Americas, Group Investigations, UBS AG Stamford Branch, Nashville TN, USA

- Managing the whistleblowing portfolio for the Americas region
- Conducting whistleblowing investigations as responsible investigator
- Instructing and supervising responsible investigators with other functions
- Reviewing and revising closing reports and coordinating remediation measures incl. disciplinary measures
- Supporting other functions as a subject matter expert for whistleblowing and other internal investigations

2016 – 2017

Litigation and Regulatory Lawyer, Legal Special Review, UBS Financial Services Inc., Nashville TN, USA

- Conducting internal investigations within the Wealth Management Division (sales related misconduct)
- Coordinating remediation measures incl. disciplinary measures
- Conducting whistleblowing investigations

2014 – 2016

Litigation and Regulatory Lawyer, Litigation & Investigations, UBS AG, Zurich

- Managing complex litigation cases
- Managing and conducting complex internal investigations incl. cross-border investigations
- Conducting whistleblowing investigations
- Supporting the management and reporting related to the whistleblowing portfolio for the Swiss region

ENQUIRE

REGULATORY INVESTIGATIONS

2009 – 2013

Associate Attorney, Lenz&Staehein, Zurich and Geneva

- Providing legal advice and litigating employment matters as well as commercial disputes
- Also member of the Private Clients and Real Estate Practice Groups

2005 – 2008

Clerk, District Court of Horgen (Canton of Zurich)

- Clerk with the II. Division (civil- and criminal proceedings)
- Moderating court settlement negotiations in family and employment disputes
- Providing legal counselling in family, employment and succession matters (public mandate of the court)

Education

2011 – 2012

LL.M., University of Virginia, Charlottesville VA, USA

2009

Attorney-at-Law (admitted to all Swiss courts)

1998 – 2005

Lic. iur., University of Zurich und Universidad Publica de Navarra, Spain

Languages

German (mother tongue), English (fluent), Spanish (fluent), French (good knowledge)